

# Some Degeneracy Examples

$$\begin{pmatrix} \Omega_{11} & \Omega_{12} \\ \Omega_{21} & \Omega_{22} \end{pmatrix} \xrightarrow{\text{diagonalize}} \begin{pmatrix} w & 0 \\ 0 & w \end{pmatrix} = w \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

diagonal in any orthonormal basis

( $\Omega_{12} = \Omega_{21} = 0$  in this case).

$$\begin{pmatrix} \Omega_{11} & \Omega_{12} & \Omega_{13} \\ \Omega_{21} & \Omega_{22} & \Omega_{23} \\ \Omega_{31} & \Omega_{32} & \Omega_{33} \end{pmatrix} \xrightarrow{\text{diagonalize}} \begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_1 & 0 \\ 0 & 0 & w_2 \end{pmatrix} = w_1 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix} + w_2 \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$w_1 \neq w_2$

- in  $2 \times 2$  subspace ①, any basis maintains diagonality.
- must use specific eigenvector for ②.
- subspace of ①  $\perp$  to subspace of ②.

$$\begin{pmatrix} \Omega_{11} & \Omega_{12} & \Omega_{13} \\ \Omega_{21} & \Omega_{22} & \Omega_{23} \\ \Omega_{31} & \Omega_{32} & \Omega_{33} \end{pmatrix} \xrightarrow{\text{diag}} \begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_2 & 0 \\ 0 & 0 & w_1 \end{pmatrix} \leftarrow \text{actually, same as above.}$$

$w_1 \neq w_2$

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_2 & 0 \\ 0 & 0 & w_1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} w_1 & 0 & 0 \\ 0 & 0 & w_2 \\ 0 & w_1 & 0 \end{pmatrix}$$

$U^\dagger \qquad \qquad \qquad U$

$$= \begin{pmatrix} w_1 & 0 & 0 \\ 0 & w_1 & 0 \\ 0 & 0 & w_2 \end{pmatrix}$$

$$\begin{pmatrix} \Omega_{11} & \Omega_{12} & \Omega_{13} & \Omega_{14} \\ \Omega_{21} & \Omega_{22} & \Omega_{23} & \Omega_{24} \\ \Omega_{31} & \Omega_{32} & \Omega_{33} & \Omega_{34} \\ \Omega_{41} & \Omega_{42} & \Omega_{43} & \Omega_{44} \end{pmatrix} \xrightarrow{\text{diag}} \begin{pmatrix} \omega_1 & 0 & 0 & 0 \\ 0 & \omega_2 & 0 & 0 \\ 0 & 0 & \omega_1 & 0 \\ 0 & 0 & 0 & \omega_2 \end{pmatrix} \quad \omega_1 \neq \omega_2$$

use additional  $U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$

$$\rightarrow \begin{pmatrix} \omega_1 & 0 & 0 & 0 \\ 0 & \omega_1 & 0 & 0 \\ 0 & 0 & \omega_2 & 0 \\ 0 & 0 & 0 & \omega_2 \end{pmatrix}$$

- 2  $\perp$  2x2 subspaces
- within each subspace, any orthonormal basis works.

Degeneracy, inside the degenerate subspace, is easier to handle than diagonalization!

## Unitary Diagonalization

$$U|u_i\rangle = u_i|u_i\rangle \quad U|u_j\rangle = u_j|u_j\rangle$$

when  $u_i \neq u_j$

$$\langle u_j | \underbrace{U^\dagger U}_{\mathbb{1}} | u_i \rangle = u_j^* u_i \langle u_j | u_i \rangle$$

when  $i \neq j$

(Degeneracy as for Hermitian)

$$\langle u_j | u_i \rangle = u_j^* u_i \langle u_j | u_i \rangle$$

$$(1 - u_j^* u_i) \langle u_j | u_i \rangle = 0$$

1)  $u_i \neq u_j$

$$(1 - u_j^* u_i) \neq 0$$

2)  $i = j$

$$|u_i|^2 = 1$$

$$i \neq j$$

$$\therefore \langle u_j | u_i \rangle = 0$$

$$u_i = u_j$$

$$u_i = e^{i\theta_i}$$

$$\langle u_i | u_i \rangle = 1$$

# Simultaneous Diagonalization

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Thm 13: If  $\underline{\Omega}$  and  $\underline{\Lambda}$  commute, a basis of common eigenvectors that diagonalize them both. And vice versa.

Vice versa:  $\underline{\Omega} \doteq \begin{pmatrix} \omega_1 & & 0 \\ 0 & \omega_2 & \\ \vdots & & \ddots \\ 0 & & 0 & \omega_n \end{pmatrix}$   $\underline{\Lambda} \doteq \begin{pmatrix} \lambda_1 & 0 & & \\ 0 & \lambda_2 & & \\ \vdots & & \ddots & \\ & & & \lambda_n \end{pmatrix}$

$$\underline{\Omega} \underline{\Lambda} \doteq \begin{pmatrix} \omega_1 \lambda_1 & 0 & & \\ 0 & \omega_2 \lambda_2 & & \\ \vdots & & \ddots & \\ & & & \omega_n \lambda_n \end{pmatrix} = \begin{pmatrix} \lambda_1 \omega_1 & 0 & & \\ 0 & \lambda_2 \omega_2 & & \\ \vdots & & \ddots & \\ & & & \lambda_n \omega_n \end{pmatrix} = \underline{\Lambda} \underline{\Omega}$$

Primary:

$$\underline{\Omega} |w_i\rangle = \omega_i |w_i\rangle$$

(assume  $\{w_i\}$  non degenerate)

$$\underline{\Lambda} \underline{\Omega} |w_i\rangle = \omega_i \underline{\Lambda} |w_i\rangle$$

commute.

$$\underline{\Omega} (\underline{\Lambda} |w_i\rangle) = \omega_i (\underline{\Lambda} |w_i\rangle) \Rightarrow \underline{\Lambda} |w_i\rangle \propto |w_i\rangle$$

or,  $|w_i\rangle$  also an eigenket of  $\underline{\Lambda}$ !

What about degeneracy in  $\underline{\Omega}$ ?

easy... inside the degenerate subspace, ANY BASIS keeps  $\underline{\Omega}$  diagonal. So one diagonalizes  $\underline{\Lambda}$  inside the degenerate subspace of  $\underline{\Omega}$ .

$\underline{\Lambda}$  may not be similarly degenerate!

For example, maybe ...

$$\hat{\Omega} \doteq \begin{pmatrix} \omega_1 & 0 & 0 \\ 0 & \omega_2 & 0 \\ 0 & 0 & \omega_2 \end{pmatrix} \quad \hat{\Lambda} \doteq \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_1 & 0 \\ 0 & 0 & \lambda_2 \end{pmatrix}$$

note in this case, the three states can be uniquely "tagged"

$$(\omega_1, \lambda_1) \implies \text{state \#1}$$

$$(\omega_2, \lambda_1) \implies \text{state \#2}$$

$$(\omega_2, \lambda_2) \implies \text{state \#3}$$

when this occurs, the set  $\{\hat{\Omega}, \hat{\Lambda}\}$  is called a "complete set of commuting operators"

$\hat{\Omega}$  could be a CSCO if totally non-degenerate.

skip 1.8

### Functions of operators

defined by the power series

$$\text{if } f(z) = \sum_{n=0}^{\infty} a_n z^n$$

$z$  is called a "commuting number" or  $c$ -number.

$$\text{then } f(\hat{\Omega}) = \sum_{n=0}^{\infty} a_n \hat{\Omega}^n$$

$\hat{\Omega}$  sometimes called a  $q$ -number

this is particularly easy when one works in the eigenbasis of  $\hat{\Omega}$ ;

$$\underline{\Omega} = \begin{pmatrix} w_1 & & 0 \\ & w_2 & \\ 0 & & \ddots \\ & & & w_m \end{pmatrix}$$

$$f(\underline{\Omega}) = \begin{pmatrix} \sum_{n=0}^{\infty} a_n w_1^n & & 0 \\ & \sum_{n=0}^{\infty} a_n w_2^n & \\ 0 & & \ddots \\ & & & \sum_{n=0}^{\infty} a_n w_m^n \\ & & & & 0 \end{pmatrix}$$

$$= \begin{pmatrix} f(w_1) & & 0 \\ & f(w_2) & \\ 0 & & \ddots \\ & & & f(w_m) \\ & & & & 0 \end{pmatrix}$$

example:  $\underline{\sigma}_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$      $\underline{\sigma}_1^2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

so  $e^{-i\theta \underline{\sigma}_1} = \underline{\mathbb{1}} - i\theta \underline{\sigma}_1 + \frac{1}{2}(-i\theta)^2 \underline{\sigma}_1^2 + \frac{1}{3!}(-i\theta)^3 \underline{\sigma}_1^3 + \dots$

$$= \underline{\mathbb{1}} \left( 1 - \frac{1}{2}\theta^2 + \frac{1}{4!}\theta^4 + \dots \right) - i \underline{\sigma}_1 \left( \theta - \frac{1}{3!}\theta^3 + \frac{1}{5!}\theta^5 + \dots \right)$$

$$e^{-i\theta \underline{\sigma}_1} = \underline{\mathbb{1}} \cos \theta - i \underline{\sigma}_1 \sin \theta$$

also works for:  $\underline{\sigma}_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\underline{\sigma}_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

derivative:

$$\frac{d}{d\theta} (e^{-i\theta \underline{\sigma}_1}) = \underline{\mathbb{1}} (-\sin \theta) - i \underline{\sigma}_1 \cos \theta \quad (\text{easy})$$

Integral w/r to parameter is easy 57

what gets hard:

$$e^{\hat{\Omega}} e^{\hat{\Lambda}} \text{ generally } \neq e^{\hat{\Omega} + \hat{\Lambda}}$$

$$\text{when } [\hat{\Omega}, \hat{\Lambda}] = 0 \text{ then } e^{\hat{\Omega}} e^{\hat{\Lambda}} = e^{\hat{\Omega} + \hat{\Lambda}}$$

why?

$$e^{\hat{\Omega}} e^{\hat{\Lambda}} = \underbrace{\left(1 + \hat{\Omega} + \frac{1}{2!} \hat{\Omega}^2 + \dots\right)}_{\text{all } \hat{\Omega}'\text{s to left of}} \underbrace{\left(1 + \hat{\Lambda} + \frac{1}{2!} \hat{\Lambda}^2 + \dots\right)}_{\text{all } \hat{\Lambda}'\text{s!}}$$

$$e^{(\hat{\Omega} + \hat{\Lambda})} = 1 + (\hat{\Omega} + \hat{\Lambda}) + \frac{1}{2!} (\hat{\Omega} + \hat{\Lambda})(\hat{\Omega} + \hat{\Lambda}) + \dots$$

↑  
this  $\hat{\Lambda}$  is to right  
of THIS  $\hat{\Omega}$